

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Kie Y. Ahn et al.	Examiner:	David S. Blum
Serial No.:	09/945,535	Group Art Unit:	2813
Filed:	August 30, 2001	Docket:	1303.026US1
Title:	HIGHLY RELIABLE AMORPHOUS HIGH-K GATE OXIDE ZrO ₂		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications, and Non-Published Applications identifiable by USPTO Serial Number, are no longer required to be provided to the Office. Notification of this change to this effect was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004 and October 19, 2004. Thus, Applicant has not included copies of any US Patents or US Patent Applications identifiable by serial number that may be cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

KIE Y. AHN ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 349-9587

Date

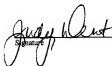
27 Nov '06

By


Timothy B. Clise
Reg. No. 40,957

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being filed using the USPTO's electronic filing system, EFS-Web, and is addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 28th day of November 2006.

JUDY DENT
Name


Signature

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**
 (Use as many sheets as necessary)

Complete if Known

Application Number	09/945,535
Filing Date	August 30, 2001
First Named Inventor	Ahn, Kie
Group Art Unit	2813
Examiner Name	Blum, David

Sheet 1 of 1

Attorney Docket No: 1303.026US1

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-200100002280A1	05/31/2001	Sneh, Ofer	12/22/2000
	US-20030228747A1	12/11/2003	Ahn, Kie Y., et al.	06/05/2002
	US-20050145959A1	07/07/2005	Forbes, Leonard	03/08/2005
	US-20060023513A1	02/02/2006	Forbes, L., et al.	07/27/2004
	US-20060176645A1	08/10/2006	Ahn, K. Y., et al.	02/08/2005
	US-20060223337A1	10/05/2006	Ahn, K. Y., et al.	03/29/2005
	US-20060228868A1	10/12/2006	Ahn, K. Y., et al.	03/29/2005
	US-20060237764A1	10/26/2006	Ahn, K. Y., et al.	06/29/2006
	US-20060244082A1	11/02/2006	Ahn, K. Y., et al.	04/28/2005
	US-20060244100A1	11/02/2006	Ahn, K. Y., et al.	04/28/2005
	US-20060246741A1	11/02/2006	Ahn, K. Y., et al.	07/17/2006
	US-20060252211A1	11/09/2006	Ahn, K. Y., et al.	07/17/2006
	US-6,759,081	07/06/2004	Huganen, J., et al.	04/30/2002
	US-7,129,553	10/31/2006	Ahn, K. Y., et al.	08/31/2004
	US-7,135,369	11/14/2006	Ahn, K. Y., et al.	03/31/2003
	US-7,135,421	11/14/2006	Ahn, K. Y., et al.	06/05/2002

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	†
-------------------	---------------------	------------------	---	---

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initial*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	†
-------------------	----------------------	---	---

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER, Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. † Applicant's unique citation designation number (optional). ‡ Applicant is to place a check mark here if English language. Translation is attached.